Constructing Integral Lattices With Prescribed Minimum. I

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Abstract. Methods for computing integral laminated lattices with prescribed minimum are developed. Laminating is a process of stacking layers of an (n - 1)-dimensional lattice as densely as possible to obtain an *n*-dimensional lattice. Our side conditions are: All scalar products of lattice vectors are rational integers, and all lattices are generated by vectors of prescribed minimum (square) length *m*. For m = 3 all such lattices are determined.

1. Introduction. An integral lattice of dimension n is a free Abelian group Λ contained in the Euclidean space \mathbb{R}^n such that Λ is generated by n linearly independent vectors with integral scalar products. Important invariants of Λ are the natural numbers m, d:

(1.1)
$$m = m(\Lambda) := \min\{\langle \mathbf{x}, \mathbf{x} \rangle | \mathbf{x} \in \Lambda, \mathbf{x} \neq \mathbf{0}\},\$$

the minimum (square-) length of Λ ; the discriminant $d(\Lambda)$ is defined by

(1.2)
$$d = d(\Lambda) := \det(\langle \mathbf{e}_i, \mathbf{e}_j \rangle)_{1 \le i, j \le n}$$

for some (**Z**-) basis $\mathbf{e}_1, \ldots, \mathbf{e}_n$ of Λ .

For fixed $m \in \mathbb{N}$ we consider sequences $(\Lambda_n)_{n \in \mathbb{N}}$, $\Lambda_1 \subseteq \Lambda_2 \subseteq \cdots$, of *n*-dimensional integral lattices $\Lambda_n = \Lambda_n(m)$ subject to the following conditions:

(i) $m(\Lambda_n) = m$ for all $n \in \mathbb{N}$;

(ii) Λ_n is generated by vectors **x** of length $m = \langle \mathbf{x}, \mathbf{x} \rangle = ||\mathbf{x}||$;

(iii) if Λ_{n-1} is given, Λ_n has minimal discriminant among all *n*-dimensional integral lattices $\tilde{\Lambda}_n$ containing Λ_{n-1} and satisfying (i) and (ii).

For this lattice construction J. Thompson conjectured (in a private communication to the first author) that for each sequence $(\Lambda_n)_{n \in \mathbb{N}}$ with the properties just described, there is a dimension $n \in \mathbb{N}$ such that Λ_n is unimodular (i.e., $d(\Lambda_n) = 1$).

Conway and Sloane [2] suggest a similar construction of (not necessarily integral) lattices Λ_n , which they call laminated lattices. In their construction Λ_0 is the trivial lattice, and for $n \in \mathbb{N}$ they chose among all *n*-dimensional lattices with minimum length 4, which contain at least one sublattice Λ_{n-1} , those of minimal discriminant. Any such lattice is called a laminated lattice Λ_n . It turns out that all laminated lattices up to dimension n = 24 are integral and generated by vectors of length 4. If one also imposes these two conditions—namely, Λ_n to be integral and generated by

Received April 6, 1983; revised June 25, 1984.

¹⁹⁸⁰ Mathematics Subject Classification. Primary 10E25, 10E30, 10E45.

vectors of minimum length m ($m \in \mathbb{N}$ rather than 4)—one could speak about an arithmetic laminating process, whereas the construction of Conway and Sloane is rather a geometric laminating process. The arithmetic laminating process is a slightly more restricted construction than the one given at the beginning of this introduction. Namely, it happens that some sequences of laminated lattices terminate (e.g., in dimensions n = 13 for m = 4 [2], and n = 14 for m = 3 (see Section 4 of this paper)). Therefore we call the lattices of the construction above, with properties (i)–(iii), weakly (arithmetic) laminated. In this paper the weakly laminated lattices of minimum length m = 3 are classified.

In Section 2 the process of passing from Λ_n to Λ_{n+1} in a sequence of weakly laminated lattices is discussed. As a result of this analysis, three computational tasks must be solved for performing the necessary (computer) calculations: Find a vector of minimum length $m(\mathbf{x} + \Lambda_n)$ in a coset $\mathbf{x} + \Lambda_n$, $\mathbf{x} \in \mathbf{R}^n \setminus \Lambda_n$; find automorphisms (or even the full automorphism group) of a lattice Λ_n ; and decide if two lattices are isometric. Solutions of these problems are given in Section 3. Finally, in Section 4, we list the results for minimum m = 3. Results for other minima (m =4, 5) and for lattices over maximal orders of number fields will appear in a subsequent paper.

We acknowledge with pleasure stimulating discussions with J. H. Conway and J. Thompson. In particular, we thank the referee for his careful reading of the manuscript.

The extensive electronic computations were carried out on the CDC Cyber 76 of the Rechenzentrum of the Universität zu Köln and on the CDC Cyber 175 of the Rechenzentrum of the RWTH Aachen.

2. The Basic Construction. The following two remarks a priori restrict the possibilities for sequences $(\Lambda_n)_{n \in \mathbb{N}}$ of weakly laminated lattices with fixed minimum length m.

(2.1) Remark. If Λ_n is unimodular for some $n \in \mathbb{N}$, then $\Lambda_{n+k} = \Lambda_n \perp \Gamma_k$ ($k \in \mathbb{N}$, \perp means orthogonal direct sum), and $(\Gamma_i)_{i \in \mathbb{N}}$ is again a sequence of weakly laminated lattices.

Proof. Since Λ_n is unimodular, it has an orthogonal complement Γ_k in Λ_{n+k} $(k \in \mathbb{N})$ (Proposition 3.1 of Chapter 1 in [6]). The rest of the proof is straightforward, since a vector of minimum length in Λ_{n+k} is either in Λ_n or in Γ_k . \Box

(2.2) Remark. For all $n \in \mathbb{N}$ the discriminants satisfy $d(\Lambda_{n+1}) \leq d(\Lambda_n)m$. In particular, there are only finitely many possibilities for Λ_{n+1} .

Proof. $\Lambda_n \perp \mathbb{Z}\mathbf{e}$ with $\langle \mathbf{e}, \mathbf{e} \rangle = m$ satisfies conditions (i) and (ii); hence, $d(\Lambda_{n+1}) \leq d(\Lambda_n \perp \mathbb{Z}\mathbf{e}) = d(\Lambda_n)m$. Now the second statement follows by reduction theory. \Box

For instance, all integral lattices generated by vectors of length 2 are well-known. They are the so-called Witt lattices, i.e., orthogonal direct sums of root lattices of type A_n ($n \ge 1$), D_n ($n \ge 4$), E_6 , E_7 , E_8 with discriminants $d(A_n) = n + 1$, $d(D_n) = 4$, $d(E_6) = 3$, $d(E_7) = 2$, $d(E_8) = 1$, respectively. With this information one easily verifies that, in case m = 2, there is—up to isometry—exactly one sequence of weakly laminated lattices, namely: A_1 , A_2 , A_3 , D_4 , D_5 , E_6 , E_7 , E_8 , $E_8 \perp A_1$,....

For $m \ge 3$ the situation is much more complicated. Therefore we need to analyze the transition from Λ_n to Λ_{n+1} in some detail. The orthogonal projection \mathbf{x}_p of a

vector $\mathbf{x} \in \Lambda_{n+1}$ into $\mathbf{R}\Lambda_n = \mathbf{R}^n$ satisfies $\langle \mathbf{x}_p, \Lambda_n \rangle \subseteq \mathbf{Z}$. This leads to the dual lattice $\Lambda_n^{\#}$ of Λ_n .

For an *n*-dimensional integral lattice Γ , the dual lattice is given by $\Gamma^{\#} := \{ \mathbf{x} \in \mathbb{R}^n | \langle \mathbf{x}, \Gamma \rangle \subseteq \mathbb{Z} \}$. If $\mathbf{e}_1, \ldots, \mathbf{e}_n$ is a basis of Γ , the dual basis $\mathbf{e}_1^{\#}, \ldots, \mathbf{e}_n^{\#}$, defined by $\langle \mathbf{e}_i, \mathbf{e}_j^{\#} \rangle = \delta_{ij}$ ($1 \le i, j \le n$), is a \mathbb{Z} -basis of $\Gamma^{\#}$. In particular, $d(\Gamma) = (\Gamma^{\#} : \Gamma)$. More precisely, the isomorphism type of the finite Abelian group $\Gamma^{\#}/\Gamma$ is described by the elementary divisors of the Gram matrix $(\langle \mathbf{e}_i, \mathbf{e}_j \rangle)_{1 \le i, j \le n}$. These elementary divisors are a considerable refinement of the discriminant and turn out to be useful invariants of Γ .

The candidates in $\Lambda_n^{\#}$ for the orthogonal projections of vectors **x** of Λ_{n+1} of minimum length *m* can easily be characterized.

(2.3) LEMMA. Let $\mathbf{x} \in \Lambda_{n+1}$ be of minimum length m. Then its orthogonal projection \mathbf{x}_p into $\mathbf{R}\Lambda_n$ is in $\Lambda_n^{\#}$ and of shortest length $m(\mathbf{x}_p + \Lambda_n)$ in the residue class $\mathbf{x}_p + \Lambda_n$.

Proof. Assume that there is $\mathbf{y} \in \Lambda_n$ such that $\mathbf{x}_p + \mathbf{y}$ is of shorter length than \mathbf{x}_p . Then $\mathbf{x} + \mathbf{y}$ is of shorter length than \mathbf{x} in Λ_{n+1} , which is a contradiction. \Box

As a consequence of this elementary lemma, the number of candidates for Λ_{n+1} contained in \mathbb{R}^{n+1} is bounded by the crude estimate $2^{d(\Lambda_n)}$. Though this bound can easily be improved, it is unrealistic to take it as a starting point for the computations. It is much more effective to proceed as follows.

We adjoin just one additional basis vector $\mathbf{x} \in \mathbf{R}^{n+1} \setminus \mathbf{R}\Lambda_n$ of minimum length m to Λ_n subject to the conditions (i), (ii). Among the obtained lattices, we select one of minimal discriminant. This is done by choosing \mathbf{x} such that $m(\mathbf{x}_p + \Lambda_n)$ is maximal among the shortest lengths of all residue classes $\neq \Lambda_n$ of $\Lambda_n^{\#}/\Lambda_n$. Namely, Pythagoras' theorem yields

(2.4) LEMMA. Let
$$\mathbf{x} \in \mathbf{R}^{n+1} \setminus \mathbf{R}\Lambda_n$$
. Then

$$d(\Lambda_n \oplus \mathbf{Z}\mathbf{x}) = d(\Lambda_n)(\|\mathbf{x}\| - \|\mathbf{x}_n\|).$$

(2.5) **PROPOSITION.** Let Λ_n be an n-dimensional integral lattice, with $d(\Lambda_n) \neq 1$, satisfying (i) and (ii), and let $\overline{m} := \max\{m(\mathbf{x} + \Lambda_n) | \mathbf{x} \in \Lambda_n^* \setminus \Lambda_n\} < m$. For each residue class $X = \mathbf{x} + \Lambda_n$ of Λ_n^* / Λ_n , with $\|\mathbf{x}\| = m(X) = \overline{m}$, let $\Lambda_n(X)$ be the (n + 1)-dimensional lattice generated by Λ_n and a vector $\tilde{\mathbf{x}} \in \mathbf{R}^{n+1} \setminus \mathbf{R}\Lambda_n$ with orthogonal projection $\tilde{\mathbf{x}}_p = \mathbf{x}$ and $\|\tilde{\mathbf{x}}\| = m$. (Note that $\Lambda_n(X)$ is uniquely defined up to an orthogonal reflection.)

Then Λ_{n+1} is among the lattices $\Lambda_n(X)$, and, conversely, each $\Lambda_n(X)$ can be chosen as Λ_{n+1} . The discriminant of Λ_{n+1} is given by $d(\Lambda_{n+1}) = d(\Lambda_n)(m - \overline{m})$.

Proof. It suffices to prove that each Λ_{n+1} is obtained by adjoining one vector $\mathbf{y} \in \mathbf{R}^{n+1} \setminus \mathbf{R} \Lambda_n$ of minimum length m to Λ_n . Namely, in this case Lemma (2.4) implies Proposition (2.5).

We first show that we can always obtain Λ_{n+1} by adjoining one vector $\mathbf{y} \in \mathbf{R}^{n+1} \setminus \mathbf{R}\Lambda_n$ of length $||\mathbf{y}|| = s \ge m$. This is tantamount to $\mathbf{R}\Lambda_n \cap \Lambda_{n+1} = \Lambda_n$. But $\mathbf{R}\Lambda_n \cap \Lambda_{n+1}$ is contained in $\Lambda_n^{\#}$, and our hypothesis on $\Lambda_n^{\#}/\Lambda_n$ implies $\mathbf{R}\Lambda_n \cap \Lambda_{n+1} = \Lambda_n$ because of $m(\Lambda_{n+1}) = m$.

Clearly, we can choose this vector y such that $m(y_p + \Lambda_n) = ||y_p||$. Since Λ_{n+1} satisfies condition (iii), we have

$$d(\Lambda_{n+1}) = d(\Lambda_n)(s - ||\mathbf{y}_p||) \leq d(\Lambda_n(X)) = d(\Lambda_n)(m - \overline{m})$$

for $X \in \Lambda_n^{\#} / \Lambda_n$ with $m(X) = \overline{m}$. Hence,

$$s = d(\Lambda_{n+1})/d(\Lambda_n) + \|\mathbf{y}_p\| \leq m - \overline{m} + \|\mathbf{y}_p\| \leq m,$$

and therefore the additional generator y is of length m and has a projection of length \overline{m} . \Box

In the above construction of Λ_{n+1} from Λ_n , the elementary divisors of the corresponding Gram matrices cannot change drastically.

(2.6) Remark. Under the hypothesis of (2.5) there is a finite Abelian group U with embeddings $\sigma_i: U \to \Lambda_i^{\#}/\Lambda_i$ (i = n, n + 1) such that $(\Lambda_i^{\#}/\Lambda_i)/\sigma_i(U)$ is cyclic for i = n, n + 1.

Proof. Let $\Lambda_{n+1} = \Lambda_n \oplus \mathbb{Z}\tilde{\mathbf{x}}$ and denote the orthogonal projection $\tilde{\mathbf{x}}_p$ of $\tilde{\mathbf{x}}$ into $\Lambda_n^{\#}$ by \mathbf{x} . The sublattice $\Gamma := \Lambda_n + \mathbb{Z}\mathbf{x}$ of $\Lambda_n^{\#}$ contains Λ_n such that Γ/Λ_n is cyclic. Its dual lattice

$$\Gamma^{\#} := \{ \mathbf{y} \in \mathbf{R}^n | \langle \mathbf{y}, \Gamma \rangle \subseteq \mathbf{Z} \}$$

has the property

(*)
$$\mathbf{R}\Lambda_n \cap \Lambda_{n+1}^{\#} = \Gamma^{\#}.$$

Let $U := \Gamma^{\#} / \Lambda_n$, σ_n be the natural embedding of U into $\Lambda_n^{\#} / \Lambda_n$ and σ_{n+1} : $U \to \Lambda_{n+1}^{\#} / \Lambda_{n+1} : \mathbf{z} + \Lambda_n \to \mathbf{z} + \Lambda_{n+1}$, which is an embedding by the isomorphism theorem. We conclude that $\Lambda_n^{\#} / \sigma_n(U) = \Lambda_n^{\#} / \Gamma^{\#}$ is isomorphic to the cyclic group Γ / Λ_n (by duality), and $\Lambda_{n+1}^{\#} / \sigma_{n+1}(U)$ is cyclic, since $\Lambda_{n+1}^{\#} / \Gamma^{\#}$ is cyclic by (*). \Box

In order to reduce the number of residue classes of $\Lambda_n^{\#}/\Lambda_n$ to be investigated according to (2.5), it is a great advantage to know a big subgroup of the automorphism group Aut(Λ_n), defined as the group of all orthogonal transformations of \mathbb{R}^n mapping Λ_n onto itself. Obviously, $m(\mathbf{x} + \Lambda_n) = m(\alpha(\mathbf{x}) + \Lambda_n)$ for all $\mathbf{x} \in \Lambda_n^{\#}$ and $\alpha \in \text{Aut}(\Lambda_n)$. Moreover, one easily verifies the following remark.

(2.7) Remark. Under the assumption and in the notation of (2.5), $\Lambda_n(X)$ is isometric to $\Lambda_n(X')$ for two residue classes X, X' of $\Lambda_n^{\#}/\Lambda_n$ if X, X' are in the same orbit of $\Lambda_n^{\#}/\Lambda_n$ under Aut(Λ_n).

However, we note that $\Lambda_n(X)$ and $\Lambda_n(X')$ may still be isometric without X, X' lying in the same orbit of $\Lambda_n^{\#}/\Lambda_n$ under Aut (Λ_n) . To understand this phenomenon, the following definition is helpful.

(2.8) Definition. Let Λ and Γ be lattices. Two (isometric) embeddings $\sigma_i: \Gamma \to \Lambda$ (*i* = 1, 2) are said to be of the same *type* if there are $\alpha \in \operatorname{Aut}(\Lambda)$ and $\beta \in \operatorname{Aut}(\Gamma)$ such that $\sigma_1\beta = \alpha\sigma_2$. The number of different types of embeddings is called the *embedding number* $\eta(\Gamma, \Lambda)$.

For example, if Γ is a one-dimensional lattice generated by a vector of length *l*, then $\eta(\Gamma, \Lambda)$ is equal to the number of orbits of Aut(Λ) on the vectors of length *l* in Λ . We use Definition (2.8) in the situation of (2.5).

(2.9) PROPOSITION. Under the hypothesis of (2.5) let $X \in \Lambda_n^{\#}/\Lambda_n$ with $m(X) = \overline{m}$, and set $L(X) := \{Y \in \Lambda_n^{\#}/\Lambda_n | m(Y) = \overline{m} \text{ and } \Lambda_n(Y) \text{ isometric to } \Lambda_n(X)\}$. Then the number of orbits of $\operatorname{Aut}(\Lambda_n)$ on L(X) is equal to the embedding number $\eta(\Lambda_n, \Lambda_n(X))$. **Proof.** We abbreviate $\Lambda_n(X)$ by Λ_{n+1} . Clearly, $\eta(\Lambda_n, \Lambda_{n+1})$ is equal to the number of orbits of $\operatorname{Aut}(\Lambda_{n+1})$ on the set \mathfrak{S} of all sublattices of Λ_{n+1} which are isometric to Λ_n . We define a mapping Ψ from L(X) into \mathfrak{S} in the following way. For $Y \in L(X)$ let σ_Y be a fixed isometry, $\sigma_Y \colon \Lambda_n(Y) \to \Lambda_{n+1}$, and set $\Psi(Y) = \Gamma_Y \coloneqq \sigma_Y(\Lambda_n)$. (Λ_n is viewed as a sublattice of $\Lambda_n(Y)$.) We claim that Ψ induces a bijection $\tilde{\Psi}$ between the set $\tilde{L}(X)$ of orbits of L(X) under $\operatorname{Aut}(\Lambda_n)$ and the set $\tilde{\mathfrak{S}}$ of orbits of \mathfrak{S} under $\operatorname{Aut}(\Lambda_{n+1})$. Note that $\tilde{\Psi}$ does not depend on the choice of σ_Y , although Ψ itself does.

Next we show that $\tilde{\Psi}$ is well-defined. Let $Y, Z \in L(X)$ and $\tau \in \operatorname{Aut}(\Lambda_n)$ such that $Y = \tau Z$. We extend τ to an isometry $\bar{\tau}$ between $\Lambda_n(Y)$ and $\Lambda_n(Z)$ in the obvious way. Then $\sigma_Y \bar{\tau} \sigma_Z^{-1}$ is an automorphism of Λ_{n+1} which maps Γ_Z onto Γ_Y . Hence, $\tilde{\Psi}$ is well-defined.

To prove the injectivity of $\tilde{\Psi}$, let Γ_Y , $\Gamma_Z \in \mathfrak{S}$, and let α be an automorphism of Λ_{n+1} mapping Γ_Z onto Γ_Y . $\bar{\tau} := \sigma_Y^{-1} \alpha \sigma_Z$ is an isometry of $\Lambda_n(Z)$ onto $\Lambda_n(Y)$ which maps the sublattice $\Lambda_n = \sigma_Z^{-1}(\Gamma_Z)$ of $\Lambda_n(Z)$ onto the sublattice $\Lambda_n = \sigma_Y^{-1}(\Gamma_Y)$ of $\Lambda_n(Y)$. Hence, $\bar{\tau}$ induces an automorphism τ of Λ_n mapping Z onto Y.

To prove the surjectivity of $\tilde{\Psi}$, let $\Gamma \in \mathfrak{S}$. Analogous to the proof of (2.5), one sees $\Lambda_{n+1} = \Gamma \oplus \mathbb{Z}\tilde{y}$ for some $\tilde{y} \in \Lambda_{n+1}$ of length *m*. Let $y' \in \Gamma^{\#}$ be the orthogonal projection of \tilde{y} into $\mathbb{R}\Gamma$. We choose $y \in \Lambda_n^{\#}$, which corresponds to y' by some isometry between Γ and Λ_n . Then Γ is in the orbit of Γ_Y , with $Y = y + \Lambda_n$, under the action of Aut (Λ_n) . \Box

3. Computational Methods. As a consequence of Section 2, there are three main computational tasks to solve:

(a) determine a vector of minimum length in a residue class of $\Lambda_n^{\#}/\Lambda_n$;

(b) determine the automorphism group of Λ_n (and its orbits on $\Lambda_n^{\#}/\Lambda_n$);

(c) decide whether two lattices Λ_n , $\tilde{\Lambda}_n$ are isometric and, in case they are, find an isometry.

Ad(a). We apply the methods of [7], which are based on quadratic supplementing of positive-definite quadratic forms. The amount of necessary computation time and storage is negligible compared to (b) and (c).

Ad(b). All lattices Λ under consideration are generated by the set M of vectors of minimum length (property (ii)). Therefore, Aut(Λ) can be identified with those permutations α of M which satisfy $\langle \alpha(\mathbf{x}), \alpha(\mathbf{y}) \rangle = \langle \mathbf{x}, \mathbf{y} \rangle$ for all $\mathbf{x}, \mathbf{y} \in M$ (and, hence, also $\alpha(-\mathbf{x}) = -\alpha(\mathbf{x})$). An automorphism σ of Λ is determined by the image $(\sigma(\mathbf{e}_1), \ldots, \sigma(\mathbf{e}_n))$ of some basis $B = (\mathbf{e}_1, \ldots, \mathbf{e}_n)$ of Λ . Our computations have shown that we can always choose a suitable basis B as a subset of M (for minimum length m = 3). This assumption is used in our computations, though it is not essential.

The first problem is to restrict the number of candidates for $\sigma(\mathbf{e}_i)$ in M ($1 \le i \le n$). Therefore, we define the *type* $t(\mathbf{x})$ of $\mathbf{x} \in M$ by

(3.1)
$$t(\mathbf{x}) \coloneqq (t(\mathbf{x}, 0), \dots, t(\mathbf{x}, \lfloor m/2 \rfloor)),$$

where $t(\mathbf{x}, i) := |\{\mathbf{y} \in M | |\langle \mathbf{x}, \mathbf{y} \rangle| = i\}| \ (0 \le i \le m/2)$ and [] denotes the floor function. Note, $t(\mathbf{x}, i) = 0$ for m/2 < i < m. All vectors $\mathbf{x} \in M$ which are of the same type as $\mathbf{a} \in M$ belong to the equivalence class

$$(3.2) T(\mathbf{a}) \coloneqq \{\mathbf{x} \in M \mid t(\mathbf{x}) = t(\mathbf{a})\}.$$

We note that $T(\mathbf{a})$ is a union of orbits of M under the action of Aut(Λ).

To find an automorphism σ of Λ we compute $\mathbf{a}_i \in M$ $(1 \le i \le n)$ subject to the condition $\langle \mathbf{a}_i, \mathbf{a}_j \rangle = \langle \mathbf{e}_i, \mathbf{e}_j \rangle$ $(1 \le j \le i)$; then σ is given by σ : $\mathbf{e}_i \to \mathbf{a}_i$ $(1 \le i \le n)$. Unfortunately, not every *r*-tuple $\mathbf{a}_1, \ldots, \mathbf{a}_r$ $(1 \le r < n)$ of vectors of M satisfying $\langle \mathbf{a}_i, \mathbf{a}_j \rangle = \langle \mathbf{e}_i, \mathbf{e}_j \rangle$ $(1 \le i \le j \le r)$ can be extended to an *n*-tuple $\mathbf{a}_1, \ldots, \mathbf{a}_n$ corresponding to an automorphism of Λ . The necessary backtrack search can be improved in two ways. Firstly, we choose a more suitable order of succession $\mathbf{e}_{i_1}, \ldots, \mathbf{e}_{i_n}$ of the basis vectors which makes the number of candidates for $\mathbf{a}_{i_{r+1}}$ for given $\mathbf{a}_{i_1}, \ldots, \mathbf{a}_{i_r}$ $(1 \le r < n)$ as small as possible. Secondly, we give another necessary condition for \mathbf{a}_{i_r} which at least guarantees the existence of $\mathbf{a}_{i_{r+1}}$ $(1 \le r < n)$. The device for this improvement is called the fingerprint of Λ with respect to the basis $\mathbf{e}_1, \ldots, \mathbf{e}_n$. It is defined as an $(n-1) \times n$ integral matrix C, the rows of which can be computed inductively.

(3.3) Definition. Let Λ be a lattice with basis $\mathbf{e}_1, \dots, \mathbf{e}_n$ of vectors of minimum length. We define a sequence (i_1, \dots, i_n) (new order of basis vectors) and set $C(i_j, k)$ $(1 \le k \le n, 1 \le j \le n-1)$ inductively by:

Let i_1 be the smallest index such that $|T(\mathbf{e}_{i_1})| \leq |T(\mathbf{e}_k)| (1 \leq k \leq n)$. If i_1, \dots, i_j are determined $(1 \leq j \leq n - 1)$, let

$$C(i_j, k) := \begin{cases} \emptyset & \text{for } k \in \{i_1, \dots, i_j\}, \\ \{\mathbf{x} \in T(\mathbf{e}_k) | \langle \mathbf{e}_{i_\nu}, \mathbf{e}_k \rangle = \langle \mathbf{e}_{i_\nu}, \mathbf{x} \rangle \text{ for } \nu = 1, \dots, j\} \text{ otherwise} \end{cases}$$

In case j < n - 1 let i_{j+1} be the smallest index such that $|C(i_j, i_{j+1})|$ is minimal among all $|C(i_j, k)| > 0$. For j = n - 1 let i_n be the remaining element of $\{1, \ldots, n\} \setminus \{i_1, \ldots, i_{n-1}\}$. Then the fingerprint is the matrix $C = (c_{jk}) \in \mathbb{Z}^{(n-1) \times n}$ with $c_{jk} = |C(i_j, k)| (1 \le k \le n, 1 \le j \le n - 1)$.

With the fingerprint given, our procedure for computing an automorphism of Λ is as follows. Let $0 \leq r < n$ and $\mathbf{a}_{i_1}, \ldots, \mathbf{a}_{i_r} \in M$ satisfying $\langle \mathbf{a}_{i_{\mu}}, \mathbf{a}_{i_{\nu}} \rangle = \langle \mathbf{e}_{i_{\mu}}, \mathbf{e}_{i_{\nu}} \rangle$ $(1 \leq \mu < \nu \leq r)$. The procedure decides whether there is an automorphism σ in Aut (Λ) such that $\sigma(\mathbf{e}_{i_j}) = \mathbf{a}_{i_j}$ $(1 \leq j \leq r)$, and, in case σ exists, it computes $\sigma(\mathbf{e}_{i_j})$ for $j = r + 1, \ldots, n$.

(3.4) Procedure for finding an automorphism σ .

Input. The fingerprint $(c_{ij}) \in \mathbb{Z}^{(n-1) \times n}$, the new ordering (i_1, \ldots, i_n) obtained from it, and the sets $T(\mathbf{e}_j)$ $(1 \le j \le n)$. As an option we can prescribe the images of the first r basis vectors $(0 \le r < n)$, which means adding $\mathbf{a}_{i_1}, \ldots, \mathbf{a}_{i_r}$, satisfying $\langle \mathbf{a}_{i_n}, \mathbf{a}_{i_r} \rangle = \langle \mathbf{e}_{i_n}, \mathbf{e}_{i_r} \rangle$ $(1 \le \mu \le \nu \le r)$, to the input.

Output. Either vectors \mathbf{a}_i $(1 \le i \le n)$ are printed such that σ : $\mathbf{e}_i \mapsto \mathbf{a}_i$ yields an automorphism, or "No solution" is printed in case there is no automorphism satisfying $\sigma(\mathbf{e}_j) = \mathbf{a}_j$ $(1 \le j \le r)$. (The latter cannot occur in case r = 0.)

Step 1 (Initialization). Set $k \leftarrow r$.

Step 2 (Computation of candidates for $\mathbf{a}_{i_{k+1}}$). Let

$$\tilde{C}(i_k, i_j) \coloneqq \left\{ \mathbf{x} \in T(\mathbf{e}_{i_j}) | \langle \mathbf{a}_{i_\nu}, \mathbf{x} \rangle = \left\langle \mathbf{e}_{i_\nu}, \mathbf{e}_{i_j} \right\rangle (1 \le \nu \le k) \right\}$$

 $(k < j \le n)$. For $k + 1 \le j \le n$, test whether each number $|\tilde{C}(i_k, i_j)|$ coincides with c_{ki_j} . If this is the case, compute $\tilde{C}(i_k, i_{k+1})$ and go to Step 4.

Step 3 (Decrease k). Decrease k by 1 until either k < r—in which case "No solution" is printed and the procedure stops—or $\tilde{C}(i_k, i_{k+1}) \neq \emptyset$.

Step 4 (Increase k). Choose $\mathbf{a}_{i_{k+1}} \in \tilde{C}(i_k, i_{k+1})$ and replace $\tilde{C}(i_k, i_{k+1})$ by $\tilde{C}(i_k, i_{k+1}) \setminus {\mathbf{a}_{i_{k+1}}}$. For k+1 = n, print \mathbf{a}_j ($1 \le j \le n$) and terminate. Otherwise set $k \leftarrow k + 1$ and return to Step 2.

Remarks concerning the implementation. (1) For the fingerprint and the computation of an automorphism, a list M of the shortest vectors in Λ is needed. M is computed by the methods of [7]. One vector \mathbf{x} for each pair $\pm \mathbf{x} \in M$ is stored in a very compact way by using the scalar products of \mathbf{x} with the basis vectors $\mathbf{e}_1, \ldots, \mathbf{e}_n$ which belong to the set $\{0, \pm 1, \pm 2, \ldots, \pm \lfloor m/2 \rfloor, m\}$. (In case $m \leq 7$ we need only one computer word (consisting of 60 bits on the CDC-machines used) for one vector in dimensions ≤ 20 .) Also the inner products of \mathbf{x} with all vectors of M (to determine $T(\mathbf{x})$) are available rather quickly, using the inverse of the Gram matrix of $\mathbf{e}_1, \ldots, \mathbf{e}_n$. We note that this matrix is needed anyway for the investigation of the residue classes of $\Lambda^{\#}/\Lambda$.

(2) If the number of vectors is large, we rearrange M according to the types of the vectors in M.

(3) It is obvious that the procedure is flexible enough so that not only the identity is generated (for example, by a suitable choice of $\mathbf{a}_{i_{k+1}}$ in Step 4).

The procedure of computing automorphisms is designed such that we can find generators for the full automorphism group of the lattrice Λ_n by using permutation group routines which perform the following three tasks:

(a) Determine the order of a permutation group given by generators.

(b) Find generators for stabilizers of points.

(c) Compute orbits of permutation groups given by generators.

For our computations we made use of CAYLEY [1].

The fingerprint C already yields an upper bound for the order of Aut(Λ_n), namely,

(3.5)
$$|\operatorname{Aut}(\Lambda_n)| \leq \prod_{j=0}^{n-1} c_{ji_{j+1}} =: b_{j}$$

since $c_{ji_{j+1}}$ is an upper bound for the orbit containing $\mathbf{e}_{i_{j+1}}$ under the stabilizer of $(\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_k})$ in Aut (Λ_n) .

We determine $|\operatorname{Aut}(\Lambda_n)|$ and generators for $\operatorname{Aut}(\Lambda_n)$ in several steps. First we randomly compute two or more automorphisms and check whether they generate a group of order *b*. If this is not the case, let $U = U_0$ be the group they generate, and let U_j be the stabilizer of $(\mathbf{e}_{i_1}, \ldots, \mathbf{e}_{i_j})$ in *U*. Set f = -1. We determine the smallest index j > f with orbit length $|U_j(\mathbf{e}_{i_{j+1}})| < c_{ji_{j+1}}$. We check with our procedure to see if there is an automorphism stabilizing $\mathbf{e}_{i_1}, \ldots, \mathbf{e}_{i_j}$ and mapping $\mathbf{e}_{i_{j+1}}$ onto the first element of $C(i_j, i_{j+1})$ which is not in the orbit $U_j(\mathbf{e}_{i_{j+1}})$. If there is such an automorphism σ , then replace U by $\langle U, \sigma \rangle$ and start over again. If not, replace f by j. Repeating this process until no j, with $f < j \leq n$, exists such that $|U_j(\mathbf{e}_{i_{j+1}})| < c_{ji_{j+1}}$, we obtain the full automorphism group of Λ_n .

We note that similar methods of building up generating sets of automorphism groups, for instance of finite groups and special combinatorial structures, were also developed in [5] (also used in CAYLEY [1]) and [8].

(3.6) *Example*. Let the minimum length be m = 3 and the dimension n = 8. A typical Gram matrix obtained by our computations is

3	1	-1	1	1	1	-1	1)
1	3	1	1	1	1	-1	0
-1	1	3	1	-1	1	1	-1
1	1	1	3	1	1	0	1
1	1	-1	1	3	0	-1	1
1	1	1	1	0	3	1	1
-1	-1	1	0	-1	1	3	0
1	0	-1	1	1	1	0	31

There are—up to sign—20 shortest vectors in the corresponding lattice listed in the standard coordinates:

i	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
\mathbf{x}_i	1	0	1	0	-1	0	1	0	0	0	-1	0	1	0	0	0	1	0	0	0
	0	1	-1	0	0	0	-1	0	0	-1	0	0	0	1	1	0	0	0	0	0
	0	0	1	1	-1	0	1	1	0	1	-1	0	0	0	-1	0	1	1	1	0
	0	0	0	0	1	1	-1	-1	0	0	0	0	0	0	0	0	-1	0	-1	0
	0	0	0	0	0	0	1	1	1	1	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	1	1	-1	-1	0	0	-1	-1	0	0
	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	1	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	1.

These vectors belong to three equivalence classes of different types:

$$T(\mathbf{x}_{1}) = \{ \pm \mathbf{x}_{1}, \pm \mathbf{x}_{4} \}, \quad t(\mathbf{x}_{1}) = (0, 38);$$

$$T(\mathbf{x}_{2}) = \{ \pm \mathbf{x}_{2}, \pm \mathbf{x}_{3}, \pm \mathbf{x}_{5}, \pm \mathbf{x}_{6}, \pm \mathbf{x}_{11}, \pm \mathbf{x}_{12} \}, \quad t(\mathbf{x}_{2}) = (8, 30);$$

$$T(\mathbf{x}_{9}) = \{ \pm \mathbf{x}_{i} | 1 \le i \le 20, \mathbf{x}_{i} \notin T(\mathbf{x}_{1}) \cup T(\mathbf{x}_{2}) \}, \quad t(\mathbf{x}_{9}) = (12, 26).$$

The corresponding fingerprint matrix is

0	6	1	6	12	6	12	12
0	6	0	6	12	6	12	12
0	0	0	4	4	4	4	4
0	0	0	0	1	2	2	2
0	0	0	0	0	2	2	2
0	0	0	0	0	0	1	1
0	0	0	0	0	0	0	1 /

and therefore the new order of succession $(i_1, \ldots, i_8) = (1, 3, 2, 4, 5, 6, 7, 8)$. Our computer program easily produces the following matrices:

$$\sigma_{1} = (\mathbf{x}_{4}, \mathbf{x}_{3}, \mathbf{x}_{1}, -\mathbf{x}_{5}, -\mathbf{x}_{9}, -\mathbf{x}_{11}, -\mathbf{x}_{16}, -\mathbf{x}_{20}),$$

$$\sigma_{2} = (\mathbf{x}_{1}, -\mathbf{x}_{11}, \mathbf{x}_{4}, \mathbf{x}_{3}, \mathbf{x}_{13}, -\mathbf{x}_{5}, \mathbf{x}_{19}, \mathbf{x}_{7}),$$

$$\sigma_{3} = (\mathbf{x}_{1}, \mathbf{x}_{2}, \mathbf{x}_{4}, \mathbf{x}_{12}, \mathbf{x}_{15}, -\mathbf{x}_{5}, -\mathbf{x}_{9}, -\mathbf{x}_{18}),$$

$$\sigma_{4} = -I_{\infty}.$$

 σ_1 , σ_2 , σ_3 , σ_4 generate the full automorphism group of the lattice of order $192 = 4 \cdot 1 \cdot 6 \cdot 4 \cdot 1 \cdot 2 \cdot 1 \cdot 1$ (see fingerprint). \Box

Determining the orbits of $\Lambda_n^{\#}/\Lambda_n$ under Aut (Λ_n) is straightforward. It hinges on finding compatible bases for Λ_n , $\Lambda_n^{\#}$ which can be obtained by diagonalizing the inverse of the Gram matrix. Since the computation of Aut (Λ_n) is time consuming

and done interactively, we usually work with a subgroup of $Aut(\Lambda_n)$ which is constructed by machine alone.

For this reason, and because the embedding numbers of Λ_{n-1} into Λ_n can be greater than one, we also need a program which decides whether two *n*-dimensional lattices are isometric.

Ad(c). Let Λ_n and $\bar{\Lambda}_n$ be *n*-dimensional lattices with the usual properties (i) and (ii) of the introduction. We first compare the following invariants:

(i) elementary divisors of the Gram matrices,

(ii) number of vectors of minimum length,

(iii) types (and type frequencies) of the vectors of minimum length.

We note that (i) and (ii) (but not the discriminant and (ii)) suffice to distinguish the lattices for m = 3 discussed in this paper.

If all three invariants coincide, we try to construct an isometry by a slight modification of Procedure (3.4) for finding an automorphism.

(i) Compute the fingerprint C of Λ_n with respect to a given bases $\mathbf{e}_1, \ldots, \mathbf{e}_n$.

(ii) Compute the list \tilde{M} of shortest vectors of $\tilde{\Lambda}_n$ (the shortest vectors of Λ_n can be deleted).

(iii) Using the fingerprint C, construct an *n*-tuple $(\tilde{\mathbf{a}}_1, \dots, \tilde{\mathbf{a}}_n)$ of vectors of M with the same Gram matrix as $\mathbf{e}_1, \dots, \mathbf{e}_n$ by Procedure (3.4). (A slightly more complicated version of the procedure also states if there is no isometry.)

Examples of isometries can be found in the next section.

4. Results for m = 3.

(4.1) THEOREM. (i) Each sequence of weakly laminated (integral) lattices for minimum m = 3 contains the unimodular lattice $\Lambda_{23} = \Lambda_{23}(3)$ with Gram matrix Q_{23} (on page S5 of the supplements section). The possible sequences $\Lambda_1, \Lambda_2, \ldots, \Lambda_{23}$ can be read off from Figure 1, in which the possible successors Λ_{n+1} of Λ_n are those connected to Λ_n by a line.

(ii) A sequence of weakly laminated (integral) lattices $(\Lambda_i)_{i \in \mathbb{N}}$ for minimum m = 5 is also a sequence of laminated lattices if it does not contain a lattice with Λ_{14}^d or Λ_{14}^e as orthogonal component. If it does contain a lattice with Λ_{14}^d or Λ_{14}^e as orthogonal component, it yields only a finite sequence of laminated lattices stopping in the smallest dimension $k \cdot 23 + 14$ ($k \in \mathbb{Z}^{\geq 0}$) for which $\Lambda_{k \cdot 23 + 14}$ contains Λ_{14}^d or Λ_{14}^e as orthogonal component. This exhausts all possibilities for sequences of laminated lattices.

We remark that the embedding number of Λ_n in Λ_{n+1} might be greater than one. The automorphism group of Λ_{23} is the direct product of $\langle -Id \rangle$ with the second Conway group $\cdot 2$; its order is $2^{19}3^65^37 \cdot 11 \cdot 23$.

Gram matrices of all lattices occurring in Figure 1 can be obtained from the Gram matrices Q_{23} , Q'_{19} , and Q''_{23} on pages S5 and S6 of the supplements section (described on pages S6 to S7).

For each lattice Λ_i in Figure 1, additional information is given in Table 1 (pages S10 to S11 of the supplements section). In particular, we list

(i) the discriminant $d(\Lambda)$ factored into elementary divisors of a Gram matrix of Λ —i.e., the isomorphism type of $\Lambda^{\#}/\Lambda$;



FIGURE 1

(ii) the lengths of the equivalence classes $T(\mathbf{a})$ defined in (3.2) of vectors of minimum length in Λ .

We computed the automorphism groups of the lattices Λ_i using Procedure (3.4) and CAYLEY [1] interactively up to dimension i = 18.

Table 2 (pages S12 and S13 of the supplements section) lists the orders of the automorphism groups and the lengths of the orbits on M (compatible with Table 1). If the automorphism group is not solvable, the nonabelian composition factors are also given.



The lattice Λ_{23} is closely related to the Leech lattice L_{24} . It can be derived from L_{24} as follows. Choose a vector \mathbf{x}_0 of minimum length in L_{24} . Let $L'_{24} = \{\mathbf{y} \in L_{24} | \langle \mathbf{x}_0, \mathbf{y} \rangle \equiv 0 \mod 2\}$, and let π be the orthogonal projection of $\mathbf{R}^{24} = \mathbf{R}L_{24}$ onto $\langle \mathbf{x}_0 \rangle^{\perp}$. Then $\Lambda_{23} \simeq \pi(L'_{24})$. Therefore, this paper can be viewed as part of the internal study of the Leech lattice, although this was not clear in the beginning. Hence, it seems natural to investigate the connections between the lattices of this paper and those determined by Conway and Sloane [2].

After rescaling their lattice Λ_{13}^{\max} such that the minimum length becomes 4 (we denote this lattice by $\tilde{\Lambda}_{13}^{\max}$), it contains a vector \mathbf{x}_0 of length 4 having even scalar products with all other vectors of $\tilde{\Lambda}_{13}^{\max}$. Projecting $\tilde{\Lambda}_{13}^{\max}$ and the sublattices of dimensions 1–12 given in [2] onto $\langle \mathbf{x}_0 \rangle^{\perp}$, exactly yields our lattices up to dimension 12. (The lattices in dimensions n > 13 do not contain such a vector \mathbf{x}_0 (see also [3]).)

Conway and Sloane [2] also discuss a second important sequence of sections of lattices (κ -sequence). The 11-dimensional lattice in this sequence (rescaled as above) also contains a vector \mathbf{x}_0 of length 4 having even scalar products with all other

vectors in the lattice. By orthogonal projections as above, we obtain lattices K_i generated by vectors of minimum length 3 ($1 \le i \le 10$) and $K_i \simeq \Lambda_i$ ($1 \le i \le 5$). As Conway pointed out, the discriminant of K_{10} is smaller than 256, the discriminant of Λ_{10}^a and Λ_{10}^b . This suggested the application of the arithmetic laminating process to K_i ($6 \le i \le 10$).

The results are contained in Figure 2 and Tables 3, 4 (supplements section, pages S15 to S16). In Figure 2, the dotted lines mean inclusion without property (iii) of the introduction. For instance, K_6 is contained in K_7 , but the laminating process leads to Λ_7 instead. Both sequences, obtained by starting from K_8 , join with the main branch of Figure 1 in dimensions 17, 18, respectively.

Gram matrices of the lattices occurring in Figure 2 can be obtained from the matrices \tilde{Q}_{17} and $\tilde{\tilde{Q}}_{18}$ (cf. pages S13 to S14 in the supplements section).

We note that the lattices K_{10} , K_{14}^a , K_{15}^a , K_{16}^a have smaller discriminants than the lattices Λ_i in the corresponding dimensions and, therefore, yield denser sphere packings. The *a*-branch of the κ -sequence also deserves attention for another reason. The elementary divisors of the Gram matrices of Λ_i and Λ^a_{23-i} coincide for i = 1, 2,...,6. This is a strong indication that the vectors of Λ_{23} which are orthogonal to Λ_i (with respect to some embedding) form a lattice isometric to Λ^a_{23-i} $(1 \le i \le 6)$ (cf. [2, Theorem 4]). Among the various lattices Λ_{23-i} (i = 7, 8, 9) there is none with $\Lambda_{23-i}^{\#}/\Lambda_{23-i} \simeq \Lambda_i^{\#}/\Lambda_i$. However, $K_{23-i}^{a\#}/K_{23-i}^a \simeq \Lambda_i^{\#}/\Lambda_i$ for i = 7, 8, 9. Also the elementary divisors of the Gram matrices of the lattices Λ_{23-i} which contain Λ_{15}^{f} are equal to those of $\Lambda_i(4)$ for i = 1, 2, ..., 8. The same interpretation as above is forced upon one's mind. However, we do not have an explanation for the isomorphism of $\Lambda_{12-i}^{\#}/\Lambda_{12-i}$ with $\Lambda_{12+i}^{\#}/\Lambda_{12+i}$ for certain of the lattices Λ_{12+i} , i = 0, 1, 2, 3, 4 (see [3] for proofs of some of these and related statements). The lattices Λ_7 and Λ_{15}^f are closely related to the Hamming codes of lengths 7, 15, respectively: They can be embedded into the orthogonal lattices \mathbf{Z}^7 , \mathbf{Z}^{15} with standard scalar product such that they contain $2\mathbb{Z}^7$, $2\mathbb{Z}^{15}$, and correspond to the dual of the Hamming code in $\mathbb{Z}^7/2\mathbb{Z}^7$, $\mathbb{Z}^{15}/2\mathbb{Z}^{15}$, respectively (see also [4]). Whereas Λ_7 is contained in several orthogonal lattices which are permuted by Aut(Λ_7), there is only one orthogonal superlattice for Λ_{15}^{f} in $\mathbf{R}\Lambda_{15}^{f}$, from which it is immediate that Aut(Λ_{15}^{f}) is an extension of C_2^{15} by GL(4,2). The lattices Λ_i not contained in Λ_{15}^f cannot be embedded into orthogonal lattices.

Finally, we remark that the automorphism group of K_{10} is isomorphic to $C_2 \times W(E_6)'$. It is the first example known to us of an irreducible maximal finite subgroup of $GL(n, \mathbb{Z})$ that is not absolutely irreducible, which answers a question of H. Zassenhaus.

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